SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Perso	'n*		lssuer Name and Ti <u>ENELUX Co</u>		0 9	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify						
(Last) C/O GENELUX	(First) CORPORATION	(Middle)		Date of Earliest Trai 1/20/2023	nsaction (Mon	th/Day/Year)	X	Officer (give title Other below) below VP, Clinical Trial Operation)			
2625 TOWNSGATE ROAD, SUITE 230				If Amendment, Date	e of Original Fi	led (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicabl Line) X Form filed by One Reporting Person						
(Street) WESTLAKE VILLAGE CA 91361							X	Form filed by One Form filed by Mor Person					
			R	Rule 10b5-1(c) Transaction Indication									
(City) (State) (Zip)				Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.									
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security	(Instr. 3)	2. Trans Date (Month/	action Dav/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an	id 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial			

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)		Disposed Of	(D) (Illsti	. 3, 4 and 5)		(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	09/20/2023		М		6,000	A	\$ <mark>6</mark>	112,135	D	
Common Stock	09/20/2023		S ⁽¹⁾		6,000	D	\$27.9643 ⁽²⁾	106,135	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$6	09/20/2023		М			6,000	(3)	09/20/2029	Common Stock	6,000	\$0.00	223,253	D	

Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 16, 2023.

The weighted average sale price for the transaction reported was \$27.9643, and the range of prices were between \$27.95 and \$28.10. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
Immediately exercisable.

Remarks:

<u>/s/ Sean Ryder, Attorney-in-</u> <u>Fact</u>

09/21/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.