SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

				Washington, D.C. 20549						OMB APPROVAL			
to Section 16.	x if no longer subje Form 4 or Form 5 ay continue. <i>See</i> b).		Filed pursuar		of the Securiti	IEFICIAL OWN es Exchange Act of 1934 apany Act of 1940			DMB Number: Estimated average bu nours per response:	3235-0287 rden 0.5			
1. Name and Add <u>Yu Tony</u>	ress of Reporting	g Person [*]		2. Issuer Name and Ticker or Trading Symbol <u>GENELUX CORP</u> [GNLX]				k all applicable) Director	10%	lssuer Owner			
(Last) C/O GENELU	(First)	(Middle)		e of Earliest Transa 7/2023	action (Month/	Day/Year)	X	Officer (give below) VP, Clinic	title Othe below cal Trial Operation	,			
2625 TOWNS	GATE ROAD	, SUITE 230	4. If A	mendment, Date of	f Original Fileo	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) WESTLAKE VILLAGE	СА	91361					X	,	y One Reporting Pe y More than One Re				
(City)	(State)	(Zip)		heck this box to indic	ate that a trans	ion Indication action was made pursuant t ns of Rule 10b5-1(c). See I	o a contr nstruction	act, instruction or n 10.	r written plan that is ir	tended to			
		Table I - No	n-Derivative S		uired, Disj	oosed of, or Benef		/ Owned					
1. Title of Securi	ty (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3)		5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirec			

, ,	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code		5)			Securities Beneficially Owned Following Reported		of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	02/17/2023		A ⁽¹⁾		9,000	Α	\$0.00	159,000	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Amou Secu Unde Deriv	rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents restricted stock units ("RSUs") granted pursuant to the Issuer's 2022 Equity Incentive Plan. Each RSU represents the contingent right to receive one share of common stock upon vesting. The RSUs will be fully vested on July 25, 2023.

Remarks:

/s/ Thomas Zindrick,

05/02/2023

Attorney-in-Fact

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date