| SEC Form 4 |   |
|------------|---|
| FORM       | 4 |

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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| Instruction 1(b).  |

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL            |           |  |  |  |  |  |  |  |  |  |
|-------------------------|-----------|--|--|--|--|--|--|--|--|--|
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| stimated average burden |           |  |  |  |  |  |  |  |  |  |

6 E hours per response: 0.5

|   | fy the affirmative<br>ns of Rule 10b5-<br>tion 10. |       |   |   |
|---|--|-------|---|---|
| 1. Name and Addre<br>Szalay Alada   | ess of Reporting Perso<br>I <u>I</u>               | on*   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENELUX Corp</u> [ GNLX ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director I 10% Owner<br>Officer (give title Other (specify  |
| (Last) (First) (Middle)<br>C/O GENELUX CORPORATION,<br>2625 TOWNSGATE ROAD, SUITE 230 |  | N,    | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/28/2024                  | below) below)   |
| (Street)<br>WESTLAKE<br>VILLAGE   | СА   | 91361 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        | <ul> <li>6. Individual or Joint/Group Filing (Check Applicable<br/>Line)</li> <li>Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting<br/>Person</li> </ul> |
| (City)  | (State)  | (Zip) |   |   |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities<br>Disposed Of |               |                                | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|---------------------------------|--|---|---|---|------------------------------|---------------|--------------------------------|---|---|---|--|
|                                 |  |   | Code                                    | v | Amount                       | (A) or<br>(D) | Price                          | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |  |
| Common Stock                    | 08/28/2024                                 |   | s                                       |   | 15,918                       | D             | \$2.0483(1)                    | 1,032,273   | Ι   | By<br>Trust <sup>(2)</sup>                          |  |
| Common Stock                    | 08/29/2024                                 |   | s                                       |   | 107,045                      | D             | <b>\$1.9801</b> <sup>(3)</sup> | 925,228   | Ι   | By<br>Trust <sup>(2)</sup>                          |  |
| Common Stock                    | 08/30/2024                                 |   | s                                       |   | 142,589                      | D             | \$2.173 <sup>(4)</sup>         | 782,639   | Ι   | By<br>Trust <sup>(2)</sup>                          |  |
| Common Stock                    |  |   |   |   |                              |               |                                | 5,000   | I   | By<br>Spouse  |  |
| Common Stock                    |  |   |   |   |                              |               |                                | 2,258,760   | I   | By<br>Trust <sup>(5)</sup>                          |  |
| Common Stock                    |  |   |   |   |                              |               |                                | 550,000   | I   | By<br>Trust <sup>(6)</sup>                          |  |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |     |     |  |                    |   |  |   |  |  |  |
|---|--|--|---|------------------------------|---|-----|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |     | of Expiration Date<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4 |                    | d 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$2.00 to \$2.105, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth herein.

2. Shares held by The Szalay 2010 Retained Annuity Trust.

3. The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$1.96 to \$2.045, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth herein.

4. The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$2.10 to \$2.27, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth herein.

5. Shares held by The Szalay 2009 Irrevocable Trust

6. Shares held by The Szalay 2010 Children's Trust.

## /s/ Aladar Szalay



\*\* Signature of Reporting Person Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.