FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | | |
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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|---|---|--|-----------|----------------------------------|------------------------------|---|---|--|------------------|-----------|---|------------------------|---------------------------------------|---|---|--------------------------------------|--|--|------------|--|
| Name and Address of Reporting Person* Yu Yong | | | | | | 2. Issuer Name and Ticker or Trading Symbol GENELUX Corp [GNLX] | | | | | | | | | tionship o all applic | able) | g Pers | son(s) to Iss | | |
| (Last) | , | First) | (Middle) |) | | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023 | | | | | | | | X | Officer below) | (give title | Other (some of the control of the co | | specify | |
| 2625 TOWNSGATE ROAD, SUITE 230 | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| (Street) WESTLA | VESTLAKE CA 91361 | | | | | | | | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) (State) (Zip) | | | | | _ R | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | |
| | | Tak | ole I - I | Non-Deri | vativ | e Sec | curit | ies Ad | cquire | ed, D | isposed o | f, or B | eneficia | lly (| Owned | | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Y | | | | | Execution Date, | | 3. Transaction Code (Instr. 8) | | | | | nd 5) S | | 5. Amount of Securities Beneficially Owned Following | | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | | | nsaction(s) etr. 3 and 4) | | | (Instr. 4) | |
| Common Stock 09/15/202 | | | | | | !3 | | | M | | 10,400 | A | \$6 | 116 | | 6,535 | | D | | |
| Common Stock 09/15/202 | | | | | 2023 | 23 | | | S ⁽¹⁾ | | 5,725 | D | \$21.557 | 21.5578 ⁽²⁾ | | 110,810 | | D | | |
| Common Stock 09/15/202 | | | | 2023 | 23 | | S ⁽¹⁾ | | 4,675 | D | \$22.291 | 22.2913 ⁽³⁾ | | 106,135 | | D | | | | |
| | | • | Table | | | | | | | | posed of, , converti | | | y Oı | wned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | if any | emed ion Date, //Day/Year) | 4. Transa Code (8) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4) | | De | Price of erivative ecurity 1str. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | Own For Dire or I (I) (| 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exerc | isable | Expiration Date | Title | Amoun or Number of Shares | | | | | | | |
| Stock Option (right to buy) | \$6 | 09/15/2023 | | | M | | | 10,400 | (| 4) | 09/20/2029 | Common Stock | 10,400 | | \$0.00 | 229,25 | 3 | D | | |

Explanation of Responses:

- $1. \ The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 16, 2023.$
- 2. The weighted average sale price for the transaction reported was \$21.5578, and the range of prices were between \$21.01 and \$21.99. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 3. The weighted average sale price for the transaction reported was \$22.2913, and the range of prices were between \$22.02 and \$22.77. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 4. Immediately exercisable.

Remarks:

/s/ Sean Ryder, Attorney-in-<u>Fact</u>

09/18/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.