| SEC Form 4 | ł |
|------------|---|
|------------|---|

Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   |         |                  | or becaun bo(n) or the investment bompany Act or 1340   |                   |  |                                 |  |  |  |  |  |
|---|---------|------------------|---|-------------------|--|---------------------------------|--|--|--|--|--|
| 1. Name and Address of Reporting Person* <u>Ryder Sean</u> (Last)       (First)         (Middle)         C/O GENELUX CORPORATION         2625 TOWNSGATE ROAD, SUITE 230 |         | son <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GENELUX Corp</u> [ GNLX ]   |                   | tionship of Reporting Per<br>all applicable)<br>Director | 10% Owner                       |  |  |  |  |  |
|   |         | ( )              | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/19/2024  | >                 | Officer (give title<br>below)<br>General Court           | Other (specify<br>below)<br>sel |  |  |  |  |  |
|   |         | ITE 230          | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Indiv<br>Line) |  |                                 |  |  |  |  |  |
| (Street)<br>WESTLAKE  | СА      | 91361            |   | v                 | Form filed by More than Person                           | 0                               |  |  |  |  |  |
| VILLAGE   |         |                  | Rule 10b5-1(c) Transaction Indication   |                   |  |                                 |  |  |  |  |  |
| (City)  | (State) | (Zip)            | Check this box to indicate that a transaction was made pursuant t satisfy the affirmative defense conditions of Rule 10b5-1(c). See I |                   |  | n that is intended to           |  |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities<br>Disposed Of<br>5) |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|-----------------------------|---|------------------------------------|---------------|-------------------|---|---|---|--|
|                                 |  |   | Code                        | v | Amount                             | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150.4)  |  |
| Common Stock                    | 02/19/2024                                 |   | <b>A</b> <sup>(1)</sup>     |   | 2,936                              | Α             | \$ <mark>0</mark> | 13,508  | D   |   |  |
| Common Stock                    | 03/14/2024                                 |   | A <sup>(2)</sup>            |   | 9,270                              | A             | \$ <mark>0</mark> | 22,778  | D   |   |  |
| Common Stock                    | 06/24/2024                                 |   | <b>S</b> <sup>(3)</sup>     |   | 5,496                              | D             | \$2.12            | 19,388(4)   | D   |   |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv | rities<br>lired<br>r<br>osed<br>)<br>r. 3, 4 | Expiration Date<br>(Month/Day/Year)<br>ed |                    | le and<br>7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------------|--|---|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)         | (D)  | Date<br>Exercisable                       | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. Represents restricted stock units ("RSUs") granted pursuant to the Issuer's 2022 Equity Incentive Plan. Each RSU represents the contingent right to receive one share of common stock upon vesting. The RSUs are fully vested as of February 17, 2024.

2. Represents RSUs that are fully vested as of March 14, 2024.

3. Represents shares sold by the Reporting Person to cover estimated taxes to be paid by the Reporting Person in connection with the vesting of the RSUs.

4. Includes 2,106 shares acquired under the Issuer's Employee Stock Purchase Plan on May 16, 2024.

/s/ Sean Ryder, Esq.

\*\* Signature of Reporting Person

07/0<u>8/2024</u>

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.