FORM 4

obligations may continue. See

Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549	
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STATEMENT (	OF CHANGES IN	BENEFICIAL	OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Yu Yong				<u>G</u> 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol GENELUX Corp [ GNLX ]						(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify								
(Last)	`	rst) (	(Middle)	)		3. Date of Earliest Transaction (Month/Day/Year) 09/26/2023							^ belo	below)  VP, Clinical Tr		below)	`			
	2625 TOWNSGATE ROAD, SUITE 230					4. If Amendment, Date of Original Filed (Month/Day/Year)							Lin	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) WESTLA	Ch	A !	91361			Form fil Person									m filed by M	iled by One Reporting Person iled by More than One Reporting 1				
(City)	(S	ate) (	(Zip)		_   Ru	Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Institute Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Institute Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions.							uant to a con							
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/			Execution Date,				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			Seci Ben Own	nount of irities eficially ed Following	Forn (D) c	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership						
						Code	v	Amount	(A) or (D)	Price	Tran	orted saction(s) r. 3 and 4)			(Instr. 4)					
Common Stock 09/26/20		023	23		M		5,200	A	\$6		111,335		D							
Common Stock 09/26/202			023	23			S <sup>(1)</sup>		1,883	D	\$22.5397	(2) 109,452			D					
Common Stock 09/26/200			023	23			S <sup>(1)</sup>		1,114	D	\$23.5145	(3)	108,338		D					
Common Stock 09/26/20		023				S <sup>(1)</sup>		2,203	D	\$24.4623	523 <sup>(4)</sup> 106,135			D						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	Title of 2. 3. Transaction Date Execution Date, or Exercise (Month/Day/Year) if any Code (I			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		tion D	ate Amount of		of es ing ve Security	8. Price Derivati Security (Instr. 5)	derivativ	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)						
					Code	v	(A)	(D)	Date Exerci	sable	Expiration Date	Title	Amount or Number of Shares							
Stock Option (right to	\$6	09/26/2023			M			5,200	(5	5)	09/20/2029	Common Stock	5,200	\$0.00	218,0	53	D			

## **Explanation of Responses:**

- 1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 16, 2023.
- 2. The weighted average sale price for the transaction reported was \$22.5397, and the range of prices were between \$22.13 and \$23.12. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 3. The weighted average sale price for the transaction reported was \$23.5145, and the range of prices were between \$23.16 and \$24.14. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 4. The weighted average sale price for the transaction reported was \$24.4623, and the range of prices were between \$24.24 and \$24.755. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.

5. Immediately exercisable.

## Remarks:

/s/ Sean Ryder, Attorney-in-

09/27/2023

**Fact** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.