SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

							v	vasnir	igton, D.C.	2054	19						omb af	PROV	AL
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).				TEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940												OMB Number: 3235-0 Estimated average burden hours per response:			235-0287 0.5
transac contrac the pur securit to satis	rchase or sale or ies of the issue of the affirmative ons of Rule 10b	pursuant to a r written plan for of equity r that is intended ve defense																	
1. Name and Address of Reporting Person* Yu Yong						2. Issuer Name and Ticker or Trading Symbol <u>GENELUX Corp</u> [GNLX]									elationship o eck all applic Directo	cable)	Reporting Person(s) to Issuer ble) 10% Owne		
,	-0													6	0.0	(give title		Other (sp	
	(Last) (First) (Middle) C/O GENELUX CORPORATION 2625 TOWNSGATE ROAD, SUITE 230				3. Date of Earliest Transaction (Month/Day/Year) 12/18/2024										VP, Clinical Trial Operations				
(Street) WESTLAKE VILLAGE CA 91361					4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Image: Constraint of the system of the syst													
(City)	(S	tate)	(Zip)																
		Tab	ole I - Non	-Deriva	ative	Sec	urities	s Ac	quired,	Disp	osed o	of, or∣	Bene	ficiall	y Owned				
Da				2. Transaction Date (Month/Day/Year)			2A. Deemed Execution Date if any (Month/Day/Yea		3.				quired ((Instr. 3	(A) or 3, 4 and	5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
				(Month/D	Day/Yea		any	,	Transa Code (I r) 8)						Owned F	ollowing) (c	wnership
				(Month/D	Day/Yea		any	,	Code (I			() (I	A) or D)	Price		ollowing t ion(s)) (c	
Common	Stock			(Month/D 12/18	-	(N	any	,	r) Code (I 8)	nstr.	5)	(1	A) or D) A	Price \$0	Owned F Reported Transact (Instr. 3 a	ollowing t ion(s)) (c	wnership
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Common 1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Table II - C	12/18 Derivat e.g., pu ate, 4. C	ive S uts, c	4 Secur calls,	any Month/Da	Acquants	r) Code (I 8) Code A ⁽¹⁾ Jired, D	v spo s, co Date	5) Amount 29,00 psed of, ponvertil	or Boble Sec 7. Title of Sec Under Deriva	A enefic ecurit e and A curities	\$0 cially cies) mount	Owned F Reported Transact (Instr. 3 a 39,	ollowing 1 ion(s) and 4))) (Instr. 4 D D I) D I) D II D II O W II D II O II II (I) (I I) (I) (I) I II II II II II II II II II II II II) C ((wnership
1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date	Table II - E (1) 3A. Deemed Execution D if any	12/18 Derivat e.g., pt ate, 4. Tr C. Year) 8)	a/2024 tive S uts, c ransac code (In	4 Secur calls,	rities A , warra 5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II	Acquants	r) Code (I 8) Code A ⁽¹⁾ Jired, D , option 6. Date Exc Expiration	v v s, cr parcisa Date y/Yea	5) Amount 29,00 psed of, ponvertil	or Boble Sec 7. Title of Sec Under Deriva	D) A enefic ecurit e and A curities dying Set 3 and 4	\$0 cially ies) mount curity b) mount umber	Owned F Reported Transact (Instr. 3 a 39, Owned	9. Number derivative Securities Beneficial Owned Following Reported Transactic)) (Instr. 4 D D I) D I) D II D II O W II D II O II II (I) (I I) (I) (I) I II II II II II II II II II II II II) C ((11. Nature of Indirect Beneficial Ownership

Explanation of Responses:

1. Represents restricted stock units ("RSUs") granted pursuant to the Issuer's 2022 Equity Incentive Plan. Each RSU represents the contingent right to receive one share of common stock upon vesting. 25% of the RSUs vest on August 1, 2025, and the remaining RSUs shall vest in 12 equal quarterly installments thereafter.

2. 25% of the shares vest on August 1, 2025, and the remaining shares shall vest in 36 equal monthly installments thereafter.

/s/ Sean Ryder, Attorney-in-	12/20/2024
Fact	12/20/2024

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.