FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

<i>N</i> ashington,	D.C.	20549	

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Yu Yong					2. Issuer Name and Ticker or Trading Symbol GENELUX Corp [ GNLX ]								eck all appl Direct	tionship of Reporting all applicable) Director		10% O	vner		
(Last)	•	irst) (	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 10/10/2023								helow	Officer (give title below)  VP, Clinical Tria		Other (s below) Operations	·	
2625 TOWNSGATE ROAD, SUITE 230				4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)									·					
(Street) WESTLA	(°	A !	91361										X Form filed by One Reporting Person  Form filed by More than One Reporting  Person						
(City)		tate) (	(Zip)		_ Ri	Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a satisfy the affirmative defense conditions of Rule 10b5-1(c). See Inst							uant to a con	a contract, instruction or written plan that is intended to truction 10.					
		Tab	le I - N	Non-Deri	vative	e Sec	urit	ies A	cquire	ed, D	isposed o	f, or B	eneficial	ly Owne	d				
Date		2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				ies cially Following	Form (D) o	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership				
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Common Stock 10/10/202			023	23		M		5,200	A	\$ <mark>6</mark>	111,335			D					
Common Stock 10/10/20		023	23			S <sup>(1)</sup>		4,800	D	\$21.9374	(2) 10	6,535		D					
Common Stock 10/10/20		023	23			S <sup>(1)</sup>		400	D	\$22.906	(3) 10	106,135		D					
		Т	able I								sposed of, , converti			Owned					
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any			ransaction code (Instr. )		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	ly	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares						
Stock Option (right to buy)	\$6	10/10/2023			M			5,200	(4	1)	09/20/2029	Commor Stock	5,200	\$0.00	212,85	3	D		

## **Explanation of Responses:**

- 1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 16, 2023.
- 2. The weighted average sale price for the transaction reported was \$21.9374, and the range of prices were between \$21.54 and \$22.40. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 3. The weighted average sale price for the transaction reported was \$22.906, and the range of prices were between \$22.82 and \$22.99. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares sold at each separate price will be provided.
- 4. Immediately exercisable.

## Remarks:

/s/ Sean Ryder, Attorney-in-

10/11/2023

**Fact** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.